

Shanghai Gold Exchange

**Standard Gold Ingot Refiner Accreditation
Application Form**

Company Name: _____

1. PROFILE

1.1 Company Name (Chinese) _____

(English) _____

Address (Chinese) _____

(English) _____

Postal Code: _____ Fax: _____

E- mail: _____

Legal Representative/Principal: _____ Title: _____ Tel: _____

Contact Person: _____ Title: _____ Tel: _____

1.2 Total number of employees in refineries: _____

1.3 Gold refining capacity: _____ (tonnes/year)

1.4 Registered gold ingot brand: _____

1.5 Gold ingot grade applied for

Grade I (Au99.99)

Grade II (Au99.95)

Grade III (Au99.9)

Grade IV (Au99.5)

2. REFINERY OUTPUT IN LAST THREE YEARS

Gold output in _____ (YYYY): _____ tonnes;

Gold output in _____ (YYYY): _____ tonnes;

Gold output in _____ (YYYY): _____ tonnes.

3. EXPECTED REFINERY OUTPUT IN NEXT TWO YEARS

Gold output in _____ (YYYY): _____ tonnes;

Gold output in _____ (YYYY): _____ tonnes.

4. SUPPORTING MATERIALS

4.1 Photocopy of the Business License and Organization Code Certificate;

- 4.2 Photocopy of trademark (brand) registration;
- 4.3 Certificate of guarantee or creditworthiness;
- 4.4 Certificate of net assets; and
- 4.5 Document certifying that the applicant has been engaged in the refining business for five years or more.

5. REQUIRED DOCUMENTS

- 5.1 Description of the company's production process and procedures
- 5.2 Organization chart;
- 5.3 *Key Refining Equipment* (Schedule 1);
- 5.4 *Key Refinery Technicians* (Schedule 2);
- 5.5 *Key Measuring and Testing Equipment* (Schedule 3);
- 5.6 *Key Testing Personnel* (Schedule 4);
- 5.7 Corporate standards for products and assaying;
- 5.8 Corporate requirements for the review and acceptance of raw materials for refining;
- 5.9 Corporate quality manual;
- 5.10 Photocopies of technical drawings that clearly depict the seal(s) and mark(s) of gold ingot;
- 5.11 Ten color photographs showing the production process and the gold ingots themselves;
- 5.12 Certificate of quality, packing list, and assay reports;
- 5.13 Photocopy of registration certificates issued by other exchanges;
- 5.14 The standards for gold ingot and assay methods of the country or region where the applicant is located; and
- 5.15 Document certifying that the raw materials are not sourced from conflict or high-risk regions.

6. STATEMENT

Our company voluntarily applies for accreditation by the Shanghai Gold Exchange of our status as a qualified supplier of standard gold ingots of the relevant grades. We have verified all information being submitted, and undertake the following duties and obligations:

1. abide by the *Articles of Association of Shanghai Gold Exchange*;
2. pay the accreditation fee whether or not our application is approved;
3. guarantee the truthfulness of the information being submitted, and
4. meet the requirements set forth in the *Registration and Accreditation Standards for SGE Standard Gold Ingot Refiners and Gold Ingot Grades (Provisional Overseas Edition)*.

Legal Representative of the Applicant (signature):

Company Name (official seal):

Date:

Key Refining Equipment (Schedule 1)

Name	Specification	Manufacturer	Date of Purchase	Technical Indicators		Operation Status	Notes
				Range	Accuracy		

Key Measuring and Testing Equipment (Schedule 3)

Name	Specification	Manufacturer	Date of Purchase	Technical Indicators		Date of Validation	Notes
				Range	Accuracy		

